**TAPA PRIVATE WEALTH INC.**

**Privacy Notice**

**Our Commitment to You**

Tapa Private Wealth Inc. (the “Firm”) is committed to maintaining the trust and confidence of its clients. This Privacy Notice explains how the Firm collects, uses, shares, and safeguards your nonpublic personal information (“personal information”) in the course of providing services. Keeping personal information secure and private is a priority at the Firm.

This Privacy Notice applies to the Firm and any subsidiaries or affiliated companies, if any, that adopt this Notice. If the Firm forms or acquires affiliates in the future, those entities will also subscribe to this Privacy Notice unless otherwise stated.

**How the Firm Collects Your Personal Information**

Categories of Personal Information Collected:

• Name, address, Social Security number, and tax identification number

• Date of birth and contact details

• Income, assets, liabilities, and net worth

• Financial goals, estate planning documents, and fiduciary documents

• Tax planning information

• Transaction history with the Firm

While providing services to you, the Firm may collect personal information from the following sources:

Account applications, financial planning forms, onboarding questionnaires, and other documents you provide (e.g., name, address, Social Security number, date of birth, income, assets, investment objectives).

Your transactions and interactions with the Firm and, if applicable, its affiliated companies or program partners (e.g., account balances, payment history, parties to transactions, types and amounts of investments, transactions, and related activity).

Consumer reporting agencies (e.g., information concerning your creditworthiness and credit history).

Third parties used to verify applications or other forms (e.g., current or past employers, financial institutions with which you conduct transactions), when you authorize us to obtain such information.

**Keeping Your Information Secure**

The Firm restricts access to personal information to personnel and agents who need to know that information to provide products or services to you. The Firm maintains physical, electronic, and procedural safeguards that comply with applicable law to protect your personal information, and trains personnel in the proper handling of such information. When the Firm uses other companies to help provide services to you, those companies are required to protect the confidentiality of the personal information they receive.

**Use of Cookies**

Clients accessing their account information online will do so via secure sites utilizing data encryption, usernames, and passwords. The Firm maintains appropriate safeguards to protect personal information transmitted electronically.

To provide better service and enhance security, the Firm’s website may use “cookies” (small data files stored on your device). Cookies may be used to facilitate website navigation, track usage, store your preferences, and address security needs. Cookies used by the Firm do not contain information that enables anyone to contact you via telephone, email, or other means. You may set your browser to decline cookies; however, certain website features may not function properly and performance may be affected.

**Disclosing Personal Information to Non‑Affiliated Third Parties**

In addition, the Firm may also share necessary information with custodians, banks, broker/dealers, and other essential third-party providers required to carry out investment advisory services.

The Firm does not sell, share, or disclose your personal information to non‑affiliated third‑party marketing companies. All such companies are contractually obligated to keep the information confidential and to use it only as permitted by law or regulation. The Firm may also disclose your personal information as permitted or required by law—for example, to process transactions on your behalf, conduct operations, follow your instructions, protect the security of records, respond to regulatory inquiries, or comply with legal process. To the extent that applicable state laws grant you greater protections, the Firm will comply with those laws.

**Disclosing Personal Information to Affiliates**

On occasion, and only with your specific consent, the Firm may provide your name and contact information to a prospective client for purposes of serving as a reference. No client is obligated to act as a reference, and this will only occur with prior written approval.

The Firm does not share your personal information with its affiliated companies, if any, for their marketing purposes. However, the Firm may share within its family of affiliated companies information about the Firm’s transactions or experiences with you (e.g., your name, Social Security number, account or payment history, and similar information). Affiliates may use such information to perform services on the Firm’s behalf, respond to your communications, or, if you are their customer, offer you their products or services, as permitted by law.

**If Your Relationship with the Firm Ends**

The Firm affords prospective clients the same protections as current and former clients with respect to the use of personal information.

If your relationship with the Firm ends, the Firm will continue to treat and protect your personal information in accordance with this Privacy Notice. The Firm may continue to share your personal information with service providers and, if applicable, affiliates as previously described or as permitted by law. If you notify the Firm of your election to limit certain sharing before or after your relationship ends, the Firm will honor that request to the extent required by law.

**Changes to This Privacy Notice**

The Firm reserves the right to amend (add to, delete from, or change) the terms of this Privacy Notice from time to time. The current Privacy Notice will be posted on the Firm’s website. Unless you notify the Firm otherwise in writing, you agree to receive copies of the Privacy Notice and any amendments from the website. Notwithstanding the foregoing, the Firm will provide you with a written copy of this Privacy Notice at least annually.

**Additional State Opt‑Out Information**

The information‑sharing practices described in this Privacy Notice are consistent with federal law. In states where additional notification or consent is required for an effective opt‑out, the Firm will contact you separately regarding your opt‑out choices.

**View and Change Your Personal Information; Right to Access and Correct**

You may review the personal information the Firm maintains about you and request corrections. You may also request a copy of such information. If you believe the information is incomplete or incorrect, you may request that the Firm complete or correct it. The Firm will review your request and either make the requested change or explain why it did not do so. If the Firm does not make the change, you may submit a written statement of dispute, which the Firm will include in future disclosures of that information and send to anyone you request who received your information from the Firm in the past two (2) years. To protect your privacy, the Firm may ask you to verify your identity and provide details to respond to your request. A reasonable fee may be charged to collect and send copies of information to you, where permitted by law.

**How to Contact the Firm**

Firm Address & Contact: 912 Drew St Ste 202, Clearwater, FL 33755 | 646-662-7061 | tapaprivatewealth.com | [info@tapaprivatewealth.com](mailto:info@tapaprivatewealth.com)

**Privacy Choices Notice (Opt‑Out/Limit Sharing Request)**

If you would like to limit the personal information that your financial advisor discloses or takes with him or her to another brokerage or investment advisory Firm upon the termination of his or her relationship with the Firm, complete and return this form to the Firm at the address above.

□ Limit the personal information about me that my financial advisor discloses or takes to a New Financial Institution upon terminating his or her relationship with the Firm.

I understand that the Firm may disclose my name, address, telephone number, email, and a general description of my accounts to such financial institution, unless I opt out or unless my afFirmative consent is required by law. In states requiring afFirmative consent, I will provide written consent before any disclosure.

Client Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_

Joint Client (if applicable): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_